

**BROKER EXAM/LICENSE APPLICATION**

RE 436 (Rev. 6/09)

DRE RECEIVED DATE

GENERAL INFORMATION

- Apply for the real estate broker examination *and* the real estate broker license at the same time, if you have not scheduled for an on-line exam OR
- Apply for a real estate broker license, if a valid examination application has been filed within the last two years.

Read enclosed instructions (RE 436A) before completing and submitting this application to ensure that you understand the requirements and that the proper qualifying documentation and exam/license fee are submitted. **Note: Fees submitted with this application are not refundable.** If you fail to qualify for or pass the examination within two years of the date this application is filed, the fees may not be applied to any future applications.

APPLICATION IS FOR: (Must check one box.)

C ☐ **Real estate examination AND license** – Complete Parts A, B, C, & D.

L ☐ **Real estate license ONLY** (If already scheduled for examination) – Complete Parts A, C & D.

DRE USE ONLY

EXAM ID

LIC ID

DRE USE ONLY

EFFECTIVE DATE

EXPIRATION DATE

DATE EXAM PASSED

FEES REQUIRED

Exam \$ 95
 License..... \$300
Total due to DRE
with this application.. \$395

PART A — APPLICANT INFORMATION

1. SOCIAL SECURITY NUMBER (REQUIRED – REFER TO PRIVACY NOTICE ON RE 436A.)

2. BIRTH DATE (MM/DD/YYYY)

3. Have you **ever** applied for a California real estate exam? ☐ NO ☐ YES

If YES, list examination ID#. (If known.)

4. APPLICANT'S NAME – LAST, FIRST, MIDDLE

5A. MAILING ADDRESS – STREET ADDRESS OR POST OFFICE BOX

5B. CITY

5C. STATE

5D. ZIP CODE

6. Do you reside in California? ☐ NO ☐ YES
 If NO, an "RE 234" is required.

7. RESIDENCE PHONE NUMBER (INCLUDE AREA CODE)

8. BUSINESS PHONE NUMBER (INCLUDE AREA CODE)

9. Have you used any other names (i.e., maiden name, former married names, AKA's, etc.)?

☐ NO ☐ YES IF YES, LIST ALL NAMES USED.

10. HEIGHT

11. WEIGHT

12. COLOR OF EYES

13. COLOR OF HAIR

14. GENDER

☐ MALE☐ FEMALE

15. Do you now hold or have you **ever** held a California real estate license? ☐ NO ☐ YES
If YES, complete 15A, B, C, and D below.

If YES to 15, has your California real estate license been previously revoked? ☐ NO ☐ YES

15A. TYPE OF LICENSE

15B. IDENTIFICATION NUMBER

15C. EXPIRATION DATE

15D. NAME ON LICENSE

PART B — EXAMINATION INFORMATION

1. When you are qualified for the examination would you prefer to use ☐ YES – Please notify me by mail when I am qualified for the examination.
eLicensing to schedule your own examination date? ☐ NO – Proceed to item 2.

2. EXAM AREA PREFERENCE (REFER TO DRE.CA.GOV FOR LIST OF OTHER TESTING FACILITIES THAT MAY BE AVAILABLE.)

☐ SACRAMENTO ☐ FRESNO ☐ LOS ANGELES ☐ OAKLAND ☐ SAN DIEGO ☐ OTHER: _____

3. EARLIEST DATE YOU CAN TAKE EXAM

(mm)

(dd)

(yyyy)

4. REQUEST FOR REASONABLE ACCOMMODATION

☐ I am requesting reasonable accommodation; RE 413 & supporting documents are attached.

FOR DRE USE ONLY☐ RESTRICTED ☐ VIOLATIONS

FORM LETTER / NOTES

EXAM PROCESSOR

DATE PROCESSED

SENDER/DATE SENT

LICENSE PROCESSOR

DATE PROCESSED

➔

➔

NAME OF APPLICANT

PART C — LICENSE INFORMATION

1. INITIAL WORKING STATUS (Check one.)

☐ I WILL BE WORKING IN REAL ESTATE FOR WHICH A CALIFORNIA LICENSE IS REQUIRED. ITEMS 2-5 MUST BE COMPLETED.

☐ I WILL **NOT BE** WORKING IN REAL ESTATE FOR WHICH A CALIFORNIA LICENSE IS REQUIRED. **DO NOT COMPLETE ITEMS 2-5**; GO TO PART D.

2. UPON BECOMING LICENSED, WILL YOU, FOR COMPENSATION, MAKE OR ARRANGE (BROKER) LOANS SECURED BY REAL PROPERTY OR SELL EXISTING NOTES SECURED BY DEEDS OF TRUST?.....

☐ NO☐ YES

(942)

3. MAIN OFFICE ADDRESS (STREET ADDRESS OR PHYSICAL DESCRIPTION — DO NOT LIST P.O. BOXES.)

CITY

STATE
CA

ZIP CODE

4. FICTITIOUS BUSINESS NAME (DBA) — IF USING A FICTITIOUS BUSINESS NAME INCLUDE A FILED COPY OF THE FICTITIOUS BUSINESS NAME STATEMENT OBTAINED FROM THE COUNTY CLERK FOR EACH NAME. REFER TO RE 436A FOR ADDITIONAL INFORMATION. (Check one.)

☐ I WILL **NOT** USE A DBA AT THIS TIME. PROCEED TO PART D.

☐ I WILL USE A DBA ➔ DBA I WILL BE USING: _____

☐ ADDITIONAL DBA ➔ OTHER DBA I WILL BE USING: _____

PART D — BACKGROUND INFORMATION

Carefully read and provide detailed answers to questions 1-4. You must provide a "yes" or "no" response to all questions, and also completely and accurately provide the detailed information required in item 5 below. Attach additional sheets if you need more space. Each sheet must be signed by the applicant. Failure to disclose pertinent information may result in denial of your license application and/or delays.

1. HAVE YOU EVER BEEN CONVICTED OF A MISDEMEANOR OR FELONY? **CONVICTIONS EXPUNGED UNDER PENAL CODE SECTION 1203.4 MUST BE DISCLOSED. HOWEVER, YOU MAY OMIT TRAFFIC CITATIONS WHICH DO NOT CONSTITUTE A MISDEMEANOR OR FELONY. IF YES, COMPLETE ITEM 5**.....

☐ YES☐ NO

2. ARE THERE CRIMINAL CHARGES PENDING AGAINST YOU AT THIS TIME? **IF YES, COMPLETE ITEM 5**.....

☐ YES☐ NO

3. HAVE YOU EVER HAD A DENIED, SUSPENDED, RESTRICTED OR REVOKED BUSINESS OR PROFESSIONAL LICENSE (INCLUDING REAL ESTATE), IN CALIFORNIA OR ANY OTHER STATE? **IF YES, COMPLETE ITEM 6**.....

☐ YES☐ NO

4. ARE THERE ANY LICENSE DISCIPLINARY ACTIONS PENDING AGAINST A BUSINESS OR PROFESSIONAL LICENSE YOU HOLD AT THIS TIME? **IF YES, COMPLETE ITEM 6**.

☐ YES☐ NO

CONVICTION DETAILS

"Convicted" as used in Item 1 includes a verdict of guilty by judge or jury, a plea of guilty, a plea of nolo contendere (i.e., "no contest"), or a forfeiture of bail in the courts (including military courts) of any state, commonwealth, possession or country. All convictions must be disclosed, no matter how long ago they occurred, even if the plea or verdict was set aside, the conviction dismissed or expunged, or you have been pardoned. Convictions occurring while you were a minor (under 18 years of age) must be disclosed unless the record of the conviction has been sealed under Section 1203.45 of the California Penal Code or Section 781 of the California Welfare and Institutions Code.

5. DETAILED EXPLANATION OF ITEM 1 AND/OR 2.

COMPLETE ONE LINE FOR EACH VIOLATION AND PROVIDE EXPLANATION BELOW. IF YOU ARE UNABLE TO PROVIDE THIS INFORMATION, PROVIDE ALL THE REQUESTED INFORMATION YOU CAN OBTAIN, WITH AN EXPLANATION FOR THE MISSING INFORMATION. IF THE CONVICTION STATUS HAS BEEN SUBSEQUENTLY CHANGED OR REDUCED, NOTE THAT FACT IN THE AREA PROVIDED FOR ADDITIONAL INFORMATION.

ATTACHMENTS FOR ADDITIONAL INFORMATION: SPECIFY WHICH LINES YOU ARE REFERRING TO. **EACH ADDITIONAL SHEET MUST BE SIGNED BY APPLICANT.**

* CODE SECTION VIOLATED (i.e., 1014, 484, ETC.)
** CODE VIOLATED (i.e., U.S. CODE, PENAL CODE, ETC.)
*** DISPOSITION (i.e., PROBATION, PAROLE, FINE, LENGTH OF TERM, etc.)

COURT OF CONVICTION (Name and Address)	ARRESTING AGENCY (Name and Address)	DATE OF CONVICTION	TYPE OF CONVICTION	* CODE SECTION VIOLATED	** CODE VIOLATED	*** DISPOSITION	CASE NUMBER
Example: Sacramento Cnty. 456 Main St., Sac	Sacramento City Police 123 Main St., Sac	2/20/87	<input type="checkbox"/> Felony <input checked="" type="checkbox"/> Misdemeanor	484	Penal Code	6 months probation and \$200 fine	1234
5A.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
5B.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
5C.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
5D.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				

6. DETAILED EXPLANATION OF ITEM 3 AND/OR 4. IF NEEDED, EXPLANATION MAY BE PROVIDED BELOW.

6A. TYPE OF LICENSE

6B. LICENSE ID NO.

6C. LICENSE EXPIRATION DATE

6D. STATE

6E. ACTION (revoked, etc.)

6F. DATE OF ACTION

6G. DATE ACTION TERMINATED

6H. CODE SECTION VIOLATED

PART D — BACKGROUND INFORMATION (Continued)

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

**BROKER EXAM/LICENSE INSTRUCTIONS**

RE 436A (Rev. 6/09)

Telephone: 877-373-4542

GENERAL INFORMATION

- This combination application may be used for either of the following two purposes:
 - **Applying** for the real estate examination and the real estate license at the same time.
 - **Applying** for the real estate license during the examination process.
- The following fees must be included with your application (Fees are subject to change.):
 - The current examination fee is \$95 *and* the current license fee is \$300. *Total due to DRE with this application: \$395.*
 - **The fees for the examination and license are not refundable.**
- The following must be submitted before your license can be issued:
 - Proof of completion of the required education and experience. Refer to *Instructions to License Applicants* handbook for further information.
 - Proof of legal presence document if not already on file (i.e., birth certificate, passport, resident alien card, etc.) **and** a State Public Benefits Statement (RE 205). Please refer to that form for further instructions.
 - A copy of the completed Live Scan Service Request (RE 237), unless you currently hold a salesperson license or have been licensed as a salesperson or broker within the last two years. (Refer to Fingerprint Information.)

Please read all examination and license information/instructions before completing and submitting this application; type or print in ink.

**GENERAL APPLICANT INFORMATION
PART A**

- You may be scheduled for only one broker examination date at a time.
- **Name** — The name you use on your examination application must be your legal name. Provide documentation (i.e., copy of your drivers license, marriage certificate, etc.) for any name change or discrepancy between your legal name and the name you used in applying for the examination or the name on your transcripts.
- **Mailing address** — Use of a real estate school/business mailing address (item #5 A-D) may cause a delay in

mail delivery. If you are not a resident of California, submit a notarized Consent to Service (RE 234). You may change your mailing address online using **eLicensing** at **dre.ca.gov** or by submitting Broker Examination Change Application (RE 415B).

- Business and license mailing addresses are public information and as such are posted on the Internet and available from DRE via telephone and written requests. Please consider this when identifying such addresses.
- The examination is qualifying in nature. If you pass the examination, an actual score will not be released.

**EXAMINATION SCHEDULING INFORMATION
PART B**

- Schedules and examination locations are subject to change.
- **Broker examinations are usually given:** On Wednesday or other weekdays as needed in Fresno, Los Angeles, Oakland, Sacramento, and San Diego. At **dre.ca.gov** you can view a calendar of examination dates and locations currently being scheduled. Since DRE allows reschedule requests online, by phone, and through the mail, examination dates fill quickly and a particular date may not be available; therefore, requests cannot be guaranteed.
- Once you are qualified, you can either schedule yourself for examination or the DRE will schedule you. If you indicate on the application (Part B, item #1) that you want to schedule the examination, a letter will be sent to you advising you that you are qualified and that you may go on **eLicensing** to schedule your examination.
- If you want the earliest possible date, indicate multiple locations in Part B, item #2 and attach a separate page stating specific dates.
- If you do not provide a date in Part B item #3, and do not request self scheduling on Part B #1, you will be scheduled for the earliest available date in the area chosen.
- **Exam date notification** — Processing timeframes are listed at **dre.ca.gov**. These timeframes estimate when the processing of your application should be completed.
- If you have not received an examination confirmation notice within the timeframes listed on our Web site, you can obtain your scheduled date through **eLicensing** at: **dre.ca.gov**.
- If you have not yet been assigned an exam date or received a deficiency letter and your application was submitted prior to the processing timeframes, check with your bank

or credit card company to assure that DRE has processed your fee.

- Applicants with limitations requiring special accommodation must submit a Special Accommodation Request for Examination (RE 413), as well as the required documentation as noted on RE 413, with this exam application. **Do not attempt to schedule a reasonable accommodation via our Web site, as eLicensing does not provide such a service. Compliance with special requests may be limited by scheduling constraints.**
- Use *eLicensing* for expedited processing to reschedule an examination, review your examination results and records, request a duplicate schedule or result notice, and change your examination mailing address.

CURRENTLY OR PREVIOUSLY LICENSED?

If you currently have a real estate broker (or broker-officer) license in California, or if your license expired less than two years ago, you are not eligible to take an examination for that type of license

Note: A broker-officer license which has not been expired for more than two years will protect your right to obtain an individual broker license.

EDUCATION & EXPERIENCE REQUIREMENTS

In addition to this application and fee, broker license applicants must submit a transcript or credit certificate showing completion of eight three semester or four quarter unit courses in specific topics completed through a regionally accredited college or university or through an approved DRE course sponsor. Courses completed through foreign institutions of higher learning must be evaluated by a foreign credentials evaluation service approved by DRE.

Applicants must submit evidence of two years of full time licensed experience, or an approved equivalent type of experience, which has been completed within five years of the date the examination application is filed.

Refer to *Instructions To License Applicants* handbook for further information and examination rules. The handbook is available on the DRE Web site or from any DRE office.

GENERAL LICENSE INFORMATION PART C & D

- Application deficiencies such as failure to submit the proper fee, education or experience requirements, legal presence information (if required), or completed Live Scan Service Request (RE 237) (if required) will delay the processing.
- You may check to verify that your license has been issued by using *eLicensing* from the Department's Web site at: **dre.ca.gov**. Current processing timeframes for properly completed applications are posted on the Web site.
- Forms referenced in these instructions can be obtained from the DRE Web site.

Part C

Main office address — Enter your primary business location (a California address) at the time your license will be issued. If a street address is unavailable, indicate the physical location; use the nearest intersection and the distance to it. A post office box number alone is not acceptable. If you are not a California resident and you do not maintain a California business address, leave lines 1-3 blank and also submit an Out-of-State Broker Acknowledgment (RE 235). Your main office address is public information and as such is posted on the Internet and available from DRE via telephone and written request.

Using a fictitious business name/doing business as (DBA)

— Enter the name exactly as it appears on the Fictitious Business Name Statement (FBNS) as filed with the County Clerk in the county where your main office is located. Submit a copy of the FBNS showing the “filed stamp” from the County Clerk's office.

- Prior to filing your fictitious business name statement with the county, you may wish to contact a local DRE district office, or check our Web site to determine if the name is already in use by another broker.
- Proof of Publication is not acceptable.
- **Do not** list your employing broker's DBA if you will be working as a broker-salesperson.
- Legible photocopies are acceptable.
- An individual broker may not be issued a license with a DBA which implies the existence of a corporation, is otherwise misleading, or which would constitute false advertising. Refer to Commissioner's Regulation 2731.

Part D

- **ALL** questions (1-4) must be answered and a full explanation must be provided if any of the questions are answered “yes.” Insufficient or no explanations will delay the processing of your license.

FINGERPRINT INFORMATION

Completion & submission of RE 237

One set of classifiable electronic fingerprints is required from every real estate license applicant unless you are currently licensed or held a license within the last two years. Applicants have the option of getting their fingerprints taken at the time they submit this application or any time thereafter. Fingerprints must be submitted through the California Department of Justice (DOJ) Live Scan Program. A Live Scan Service Request (RE 237) may be obtained online at **dre.ca.gov**.

After you have your fingerprints taken by the live scan service provider, either submit a completed copy of RE 237 with this application or, if you choose to get your fingerprints taken at a later date, submit a completed copy of RE 237 to the Department of Real Estate, P.O. Box 187002, Sacramento, CA 95818, Attn: Fingerprint Desk. Your license will not be issued until

a report from the Department of Justice is received stating that there is no criminal history, or disclosing the existence of criminal history information. If prior criminal information is disclosed in the report, further background inquiry may be required before your license is issued.

Fingerprint services (Contact the facility for fees/hours)

Many California law enforcement agencies and other private providers provide electronic fingerprint services. You may obtain a complete list of fingerprint service providers through **dre.ca.gov** or by visiting the Department of Justice's Web site at **www.ag.ca.gov**. Please note that the hours of operation for each provider may vary and an appointment may be required.

Out-of-state applicants

Out of state residents either need to make arrangements to get their fingerprints taken at a live scan service provider in California or get fingerprinted out of state using the FBI Applicant Fingerprint Card (FD-258 Rev. 5/99). A fingerprint card can be obtained by contacting the Licensing Section at 877-373-4542. It should be taken to a local law enforcement agency for completion and submitted directly to DRE with the completed license application, license application fee, and \$51 fingerprint processing fee. (The examination, licensing application and fingerprint fees can be combined.)

NOTICE OF APPEAL PROCESS

The Department of Real Estate has established time periods for the processing of permit applicants as required by the Permit Reform Act (Government Code Section 15374 et seq.). These time periods are set forth in Regulation 2709, Chapter 6, of Title 10, of the California Code of Regulations. Failure to comply with these time periods may be appealed to the Secretary of the Business, Transportation, and Housing Agency, 980 9th Street, Suite 2450, Sacramento CA 95814-2719, pursuant to Section 7600 et seq. of Title 21 of the California Code of Regulations. Under certain circumstances, the Secretary may require the Department of Real Estate to reimburse the applicant for the filing fees paid in connection with the application.

SUBVERSION OF EXAMINATION

DRE is affected by Sections 123, 123.5 and 496 of the Business and Professions Code which relate to test security and provide that DRE may deny, suspend, restrict or revoke the

license of an applicant or licensee who subverts or attempts to subvert a licensing examination.

In addition to any other penalties, a person found guilty of violating Section 123 of the B&P Code shall be liable for the actual damages sustained by the agency administering the examination not to exceed ten thousand dollars (\$10,000) and the costs of litigation.

FEES, PAYMENT & MAILING INFORMATION

Fees are subject to change.

Fees – Broker

Exam\$ 95
License\$300

Total due to DRE
with this application\$395

Fingerprint Fee①\$ 51

① *Fingerprint fee* — Applicants who *reside in California* will pay a \$51 fingerprint processing fee directly to the live scan fingerprint service provider. Applicants who *reside out of state* should submit the \$51 fingerprint processing fee with their application, fingerprint card, and license fee.

Missing requirements may be submitted anytime within the two year period after DRE receipt of your application/fee. A new application, fee, and current qualifications will be required if you fail to qualify and pass the examination during that two year period. *Note:* If you already have an examination application on file, but have not yet passed your examination, the *two year period* for your examination application started on the date your initial examination application was submitted.

Fees are not refunded if an applicant fails to pass the examination.

Acceptable payment methods — Cashiers' check, money order, check or credit card (American Express, VISA, Mastercard).

- Make check or money order payable to: **Dept. of Real Estate**
- If paying by credit card, use a Credit Card Payment (RE 909).

Mail To — Department of Real Estate, P.O. Box 187001, Sacramento, CA 95818-7001

PRIVACY NOTICE

PRIVACY INFORMATION: Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this Agency, unless access is exempted by law.

Department of Real Estate
Managing Deputy Comm. IV
2201 Broadway
Licensing, Exam., & Education
Sacramento, CA 95818
Telephone: 877-373-4542

General powers of the Commissioner, Sections 10050, 10071, and 10075 of the Business and Profes-

sions Code authorizes the maintenance of this information.

Business and Professions Code Section 30, Chapter 1361, Section 1, requires each real estate licensee to initially provide to the Department of Real Estate his or her social security number which will be furnished to the Franchise Tax Board. Your social security number shall not be deemed a public record and shall not be open to the public for inspection. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes.

The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Department with specific information. If all or any part of the required information is not provided, processing may be delayed. In addition, the Commissioner may suspend or revoke a license, or in the case

of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license.

The information requested in this form is primarily used to furnish license status information to the Department's Enforcement Section, and to answer inquiries and give information to the public on license status, mailing and business addresses and actions taken to deny, revoke, restrict or suspend licenses for cause.

This information may be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff's Departments, District Attorneys, Attorney General, F.B.I.), and any other regulatory agencies (i.e., Department of Corporations, Department of Insurance, Department of Consumer Affairs, California Bar Association).